

414 Rec'd PCT/PTO 6 JUN 2000

"EXPRESS MAIL" MAILING LABEL NUMBER EL619399705U

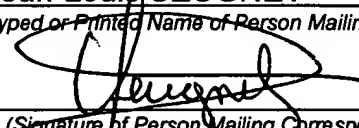
Form PTO-1390 REV.10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER RN97162G1
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/elected office (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (IF KNOWN, SEE 372) CFR 1.0 09/582390 NOT APPLICABLE
INTERNATIONAL APPLICATION NO. PCT/FR98/02867	INTERNATIONAL FILING DATE December 23, 1998	PRIORITY DATE CLAIMED December 31, 1997 and July 30, 1998	
TITLE OF INVENTION PROCESS FOR SYNTHESIZING BLOCK POLYMERS BY CONTROLLED RADICAL POLYMERIZATION FROM DITHIOCARBAMATE COMPOUNDS			
APPLICANT (S) FOR DO/EO/US: Pascale CORPART, Dominique CHARMOT, Samir ZARD, Xavier FRANCK, and Ghenwa BOUHADIR			
Applicant herewith submits the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1 <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U S C 371</p> <p>2 <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U S C 371</p> <p>3 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U S C 371) (f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U S C 371 (b) and PCT Articles 22 and 39(1)</p> <p>4 <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date</p> <p>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U S C 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6 <input checked="" type="checkbox"/> A translation of the International Application into English (35 U S C 371(c)(2)).</p> <p>7 <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210)</p> <p>8 <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U S C 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made, however the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>9 <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U S C 371(c)(3)).</p> <p>10 <input checked="" type="checkbox"/> A oath or declaration of the inventor(s) (35 U S C 371(c)(4)). (unsigned)</p> <p>11 <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</p> <p>12 <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U S C 371(c)(5)).</p> <p>Items 13 to 18 below concern document(s) or information included:</p> <p>13 <input type="checkbox"/> An information Disclosure Statement under 37 CFR 1 97 and 1 98</p> <p>14 <input type="checkbox"/> An assignment document for recording A separate cover sheet in compliance with 37 CFR 3 28 and 3 31 is included</p> <p>15 <input checked="" type="checkbox"/> A FIRST preliminary amendment A SECOND or SUBSEQUENT preliminary amendment</p> <p>16 <input type="checkbox"/> A substitute specification.</p> <p>17 <input type="checkbox"/> A change of power of attorney and/or address letter</p> <p>18 <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</p> <p>19 <input checked="" type="checkbox"/> Other items or information</p>			
Forms PCT/IB/308 and 332, PCT/ISA/210, PCT/IPEA/416 and 409 PCT/FR98/02867 as published			

US APPLICATION NO. (IF KNOWN SEE 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">09/582390</div>	INTERNATIONAL APPLICATION NO. PCT/FR98/02867	ATTORNEY DOCKET NUMBER RN97162G1				
20 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Search Report has been prepared by the EPO or JPO..... \$930.00 International preliminary examination fee paid to USPTO (37 CFR 1,482) \$720.00 No international preliminary examination fee paid to USPTO (37 CFR 1,482) but international search fee paid to USPTO (37 CFR 1,4445 (a)(2))... \$790.00 Neither International preliminary examination fee (37 CFR 1,482) nor international search fee (37 CFR 1,4445 (a)(2)) paid to USPTO.. \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1,482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 98.00 <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		CALCULATIONS PTO USE ONLY <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black;">\$ 840.00</td> <td style="width: 50%;"></td> </tr> <tr> <td style="border-bottom: 1px solid black;">\$ 0.00</td> <td></td> </tr> </table>	\$ 840.00		\$ 0.00	
\$ 840.00						
\$ 0.00						
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1,492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA				
Total Claims	24-20=	4				
Independent Claims	1-3=	0				
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) 0		+\$260.00				
TOTAL OF ABOVE CALCULATIONS =		\$ 912.00				
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28)						
SUBTOTAL =		\$ 912.00				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1,492 (f)).		\$ 0.00				
TOTAL NATIONAL FEE =		\$ 912.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 0.00				
TOTAL FEES ENCLOSED =		\$ 912.00				
		Amount to be refunded \$				
		charged \$				
a <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed b <input checked="" type="checkbox"/> Please charge my Deposit Account No. 18-1171 in the amount of \$912.00 to cover the above fees. c <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-1171 A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1,494 or 1,495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: Jean-Louis SEUGNET RHODIA INC. 259 Prospect Plains Road CN 7500 Cranbury, NJ 08512		<div style="text-align: center;"> SIGNATURE Jean-Louis SEUGNET NAME Limited Recognition under 37 CFR § 10.9(b) enclosed. </div>				
June 26, 2000 DATE		REGISTRATION NUMBER				

00760-062650

09/582390

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s) Pascale CORPART, Dominique CHARMOT, Samir ZARD, Xavier FRANCK, and Ghenwa BOUHADIR			Docket No. RN97162G1
Serial No. National Phase of PCT/FR98/02867 Intern. Filing date December 23, 1998	Filing Date June 26, 2000	Examiner	Group Art Unit
TITLE OF INVENTION PROCESS FOR SYNTHESIZING BLOCK POLYMERS BY CONTROLLED RADICAL POLYMERIZATION FROM DITHIOCARBAMATE COMPOUNDS			
I hereby certify that this Transmittal letter sending documents referred to herein, Specification (87 pages), Abstract, Declaration/ Power of Attorney unsigned, Preliminary Amendment (16 pages), Forms PCT/IB/ 308 and 332, PCT/IPEA/409 and 416, Forms PCT/ISA/210 (English & French Version), PCT/FR98/02867 as published, Limited Recognition under 37 CFR § 10.9(b).			
<p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>June 26, 2000.</u></p> <p style="text-align: center;"><i>(Date)</i></p> <p style="text-align: center;"><u>Jean-Louis SEUGNET</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p style="text-align: center;"> <i>(Signature of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u>EL619399705US</u> <i>("Express Mail" Mailing Label Number)</i></p> <p style="text-align: center;">Note: Each Paper must have its own certificate of mailing.</p>			